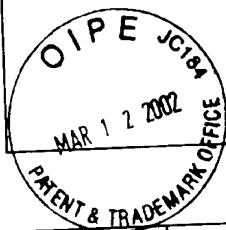


FORM PTO-1449	Atty. Docket No.: A48.12-0014	Appl. No.: 09/920,366
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:	
	Steven K. Case	
	Filing Date August 1, 2001	Group Art: 2874



U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
RLK	CA 5,644,668	7/1/97	Chambers et al.	385	90	
RLK	CB 5,671,315	9/23/97	Tabuchi et al.	385	137	
RLK	CC 5,700,987	12/23/97	Basavanhally	219	56.1	
RLK	CD 6,074,103	6/13/00	Hargreaves et al.	385	90	
RLK	CE 6,106,161	8/22/00	Basavanhally et al.	385	38	
RLK	CF 6,222,579	4/24/01	Sousa	347	241	
RLK	CG 6,327,407	12/4/01	Mitsuda et al.	335	49	
RLK	CH 2001/0010743	8/2/01	Cayrefcoureq et al.	385	88	
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	CJ					
	CK					
	CL					
	CM					
	CN					

FOREIGN PATENT DOCUMENTS

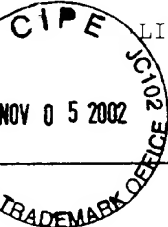
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CO						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CP		DATE CONSIDERED: 5/7/03
EXAMINER:	<i>RLK</i>	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

Atty. Docket No.:
A48.12-0014Appl. No.:
09/920,366LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

First Named Inventor:

Steven K. Case et al.

Filing Date

August 1, 2001

Group Art:

2874

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AK	AA 4,854,667	8/8/89	Ebata et al.	350	96.20	
AK	AB 5,383,118	1/17/95	Nguyen	364	167.01	
AK	AC 5,677,973	10/14/97	Yuhara et al.	385	90	
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
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	AK					

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
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	AN					

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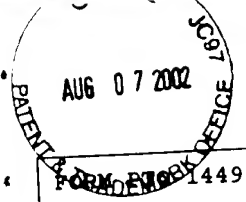
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5/17/03

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: A48.12-0014	Appl. No.: 09/920,366
	First Named Inventor: Steven K. Case et al.	
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U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
RLC	AA	5,894,657	4/20/99	Kanayama et al.	29	740	
RLC	AB	6,148,511	11/21/00	Taguchi	29	834	
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	AE						
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	AG						
	AH						
	AI						
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	AK						

FOREIGN PATENT DOCUMENTS


	Document No.	Date	Country	Class	Sub Class	Translation Yes No
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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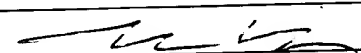
U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA						
AB						
AC						
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FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
114	AR	196 44 758 A1	4/30/98	Germany			X

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AS	
EXAMINER: 	DATE CONSIDERED: 5/7/03

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		First Named Inventor:
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		Group Art:
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U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
RK	EE	4,780,193	3/4/88	Brown et al.	350	96.10
RK	EF	4,766,613	12/13/88	Ace	350	96.15
RK	EG	4,817,849	4/4/89	Yamamoto et al.	228	103
RK	EH	4,845,052	7/4/89	Arend	437	209
RK	EI	4,909,592	3/20/90	Kamako et al.	350	96.12
RK	EJ	5,043,879	3/3/91	Bregman et al.	355	93
RK	EK	5,170,495	12/8/91	Goltsen et al.	335	84
RK	EL	5,215,459	6/1/93	Nakamura	445	4
RK	EM	5,322,463	6/21/94	Young	445	4
RK	EN	5,471,822	10/6/94	Horwitz et al.	355	89
RK	EO	5,625,743	4/29/97	Frigo et al.	355	83
RK	EP	5,639,641	8/19/97	DeMeritt et al.	385	14
RK	EQ	5,737,467	4/7/98	Fato et al.	385	92
RK	ER	5,916,458	6/29/99	Komoriya et al.	219	121.63
RK	ES	6,000,251	1/4/00	Koyanagi et al.	385	93
	ET					

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
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Examiner Initial	Document No.	Date	Name	Class	Sub-Class	Filing Date If Appropriate
AK	AA 4,238,520	2/19/81	Denlinger	357	68	
AK	AB 4,487,467	7/3/84	Klement et al.	223	103	
AK	AC 4,766,429	11/24/87	Clark et al.	350	96.10	
AK	AD 4,730,163	1/19/88	Goonwin et al.	350	96.10	
AK	AE 4,738,734	6/14/84	Kawachi et al.	350	96.11	
AK	AF 4,733,816	6/21/83	Sussman et al.	357	30	
AK	AG 4,736,434	1/17/83	Preston	250	96.20	
AK	AH 4,682,377	1/3/90	Fandla	350	96.2	
AK	AI 4,904,456	9/4/80	Reid	457	31	
AK	AJ 4,829,024	2/5/91	Lavracky et al.	350	96.11	
AK	AK 5,113,101	5/12/92	Jacke et al.	372	36	
AK	AL 5,113,074	6/16/92	Yasota et al.	385	95	
AK	AM 5,177,307	1/5/94	Avallange et al.	385	91	
AK	AN 5,210,311	5/11/93	Avallange et al.	385	91	
AK	AO 5,116,719	6/1/93	Berger et al.	385	91	
AK	AP 5,147,597	9/21/93	Blaska et al.	385	98	
AK	AQ 5,249,733	10/5/93	Brady et al.	278	180.22	

FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Class	Sub-Class	Translation Yes No
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AS	EXAMINER: <i>[Signature]</i>	DATE CONSIDERED: 5/7/03
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FORM PTO-1449	Atty. Docket No.: A48.12-0014	Appl. No.: 09/920,366
LIST OF PATENTS AND PUBLICATIONS FOR PPE APPLICANT'S INFORMATION DISCLOSURE STATEMENT		First Named Inventor:
		Steven K. Case
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		August 1, 2001
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U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
VK	AT	5,283,446	2/1/94	Tanisawa	357	423
KL	AU	5,459,598	9/11/95	Decusatis et al.	385	35
KL	AV	5,474,778	12/6/95	Tanisawa	437	183
KL	AW	5,499,311	3/12/96	Hahn et al.	385	41
KL	AX	5,535,296	7/9/96	Uchida	385	49
KL	AY	5,550,082	8/27/96	Dautartas et al.	437	225
KL	AZ	5,555,333	9/13/96	Kato	385	59
KL	BA	5,559,911	9/24/96	Asakura	385	49
KL	BB	5,568,892	10/9/96	Basavanhally	388	189.22
KL	BC	5,570,184	10/9/96	Armington et al.	356	375
KL	BD	5,574,561	11/12/96	Boudreau et al.	356	399
KL	BE	5,633,329	2/1/97	Roll et al.	364	1.35
KL	BF	5,647,814	7/2/97	Basavanhally et al.	335	47
KL	BG	5,653,641	9/19/97	DeMeritt et al.	335	14
KL	BH	5,687,267	11/11/97	Uchida	335	24
KL	BI	5,730,467	12/23/97	Basavanhally	319	56.1
KL	BJ	5,711,737	2/24/98	Basavanhally et al.	335	19

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
BK						

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FORM PTO-1449	Atty. Docket No.: A48.12-0014	Appl. No.: 09/920,366
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION CLOSURE STATEMENT		First Named Inventor:
		Steven K. Case
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U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
RK	BM	5,745,624	4/22/98	335	91	
RK	BN	5,745,622	5/5/98	335	90	
RK	BO	5,745,827	5/5/98	335	134	
RK	BP	5,772,120	7/7/98	335	44	
RK	BQ	5,833,201	11/10/98	248	466	
RK	BR	5,834,867	12/29/98	335	44	
RK	BS	5,833,943	1/12/99	335	44	
RK	BT	5,833,984	3/16/99	335	14	
RK	BU	5,833,173	4/6/99	174	281	
RK	BV	5,833,086	11/10/99	136	202	
RK	BW	5,833,406	4/17/99	385	44	
RK	BX	5,833,049	5/5/99	385	40	
RK	BY	5,833,313	6/9/99	319	121.63	
RK	BZ	5,833,446	10/12/99	385	40	
RK	CA	5,833,300	10/13/99	385	117	
RK	CB	5,833,046	11/16/99	136	202	
RK	CC	6,007,253	2/2/00	385	43	

FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Class	Sub Class	Translation Yes No
CD					

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FORM PTO-1449	Atty. Docket No.: A48.12-0014	Appl. No.: 09/920,366
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	Steven K. Case	
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U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
PK	CF	6,027,254	2/22/00	Yamada et al.	385	89
PK	CG	6,054,234	5/1/00	Tachigori	385	49
PK	CH	6,106,161	8/22/00	Basavarhally et al.	345	88
PK	CI	6,114,091	8/23/00	Kishida et al.	385	49
PK	CJ	6,115,515	9/5/00	Itch et al.	385	14
PK	CK	6,118,917	9/12/00	Lee et al.	385	44
PK	CL	6,139,448	10/10/00	Bauer et al.	277	222
PK	CM	6,139,106	10/17/00	Yokoyama	345	74
PK	CN	6,151,173	11/21/00	Massit et al.	339	811
PK	CO	6,157,050	11/5/00	Fukuoka	357	82
PK	CP	6,160,936	11/17/00	You et al.	335	49
PK	CQ	6,164,837	12/26/00	Haake et al.	385	90
	CR					
	CS					
	CT					
	CU					
	CV					

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
PK	EW	0 640 154 A1	5/6/98	EPO		X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
CY						
CZ						

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
							X
RK	DA	0 377 061 A2	2/2/50	EPO			X
RK	DB	2 775 536	12/19/94	France			X
RK	DC	54-10036	1/20/79	Japan			(Abstract)
RK	DD	63-190113	8/20/68	Japan			(Abstract)
RK	DE	61-27908	12/5/66	Japan			(Abstract)
RK	DF	62-176390	12/17/67	Japan			(Abstract)
RK	DG	63-12187	1/10/68	Japan			(Abstract)
RK	DH	63-68411	3/24/68	Japan			(Abstract)
RK	DI	63-133113	8/19/68	Japan			(Abstract)
RK	DJ	63-128113	9/13/68	Japan			(Abstract)
RK	DK	63-130214	12/6/69	Japan			(Abstract)
RK	DL	63-14852	3/19/74	Japan			(Abstract)
RK	DM	6-174943	6/24/94	Japan			(Abstract)
RK	DN	6-131931	7/17/94	Japan			(Abstract)
RK	DO	6-151840	11/27/94	Japan			(Abstract)
RK	DP	7-113672	11/22/95	Japan			(Abstract)

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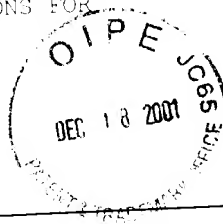
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DATE CONSIDERED: 5/7/03

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U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
DR						
DS						
DT						
DU						
DV						
DW						

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
DX						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

DK	DY	"Micro-Mechanical Alignment Structures (patent pending)", from Web Site of Axsun Technologies, 2 pages, downloaded 2/15/01.				
DK	DZ	"An Innovative Flexible and Accurate Packaging Technique Suited to Fabricate Low Cost Micro Optoelectronic Modules", by M. Scussat et al., <u>Electronic Components and Technology Conference</u> , 2000, pgs. 26-32.				
DK	EA	Article from Web Site entitled "The Photonics Program and the Engineering Research Division at LLNL are Automating the Packaging of Optoelectronic Devices to Lower Costs", 3 pages, downloaded 10/19/00.				
DK	EB	Article from Web Site entitled "Automated Optoelectronic Packaging", 2 pages, downloaded 2/5/01.				
DK	EC	Article from Web Site entitled "Micro Assembly Automation", 3 pages., downloaded 2/5/01.				
	ED					

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